



# *Collaboratory for the Study of Earthquake Predictability*

## **Current Capabilities**

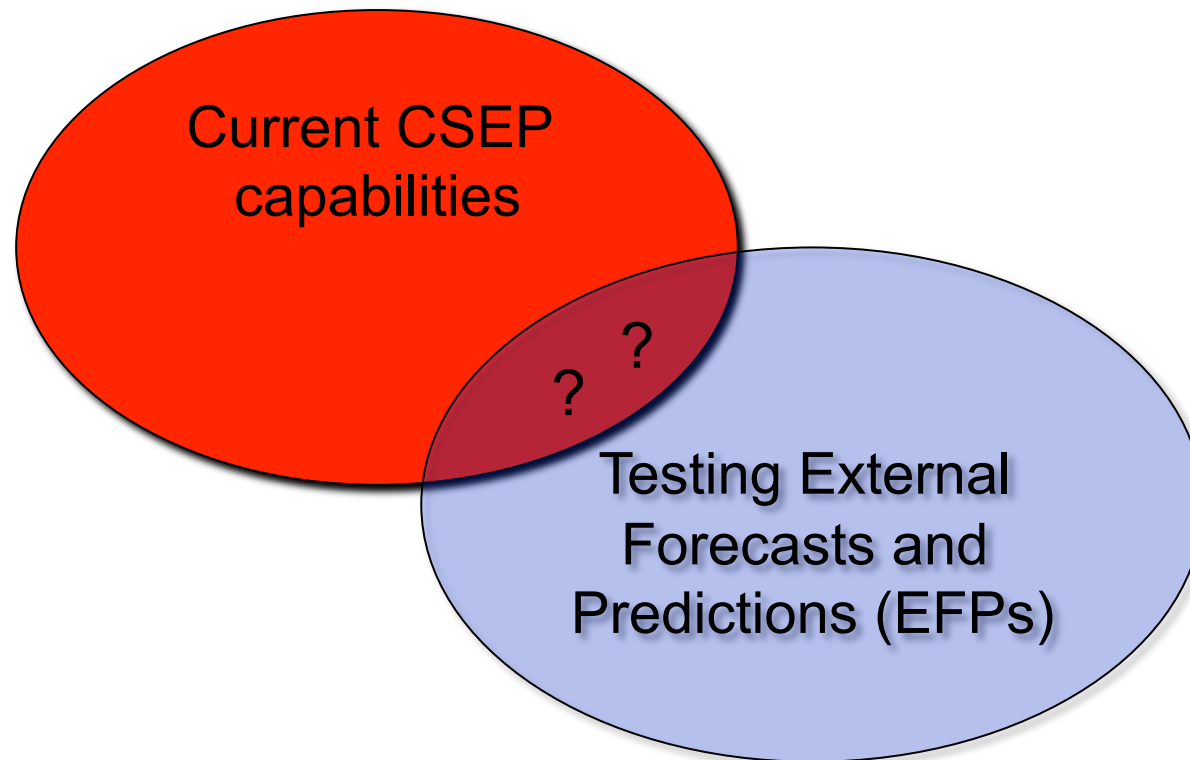
*Tom Jordan, Phil Maechling, Masha Liukis, Danijel Schorlemmer,  
Max Werner, John Yu, Jeremy Zechar ...  
and the CSEP Working Group*



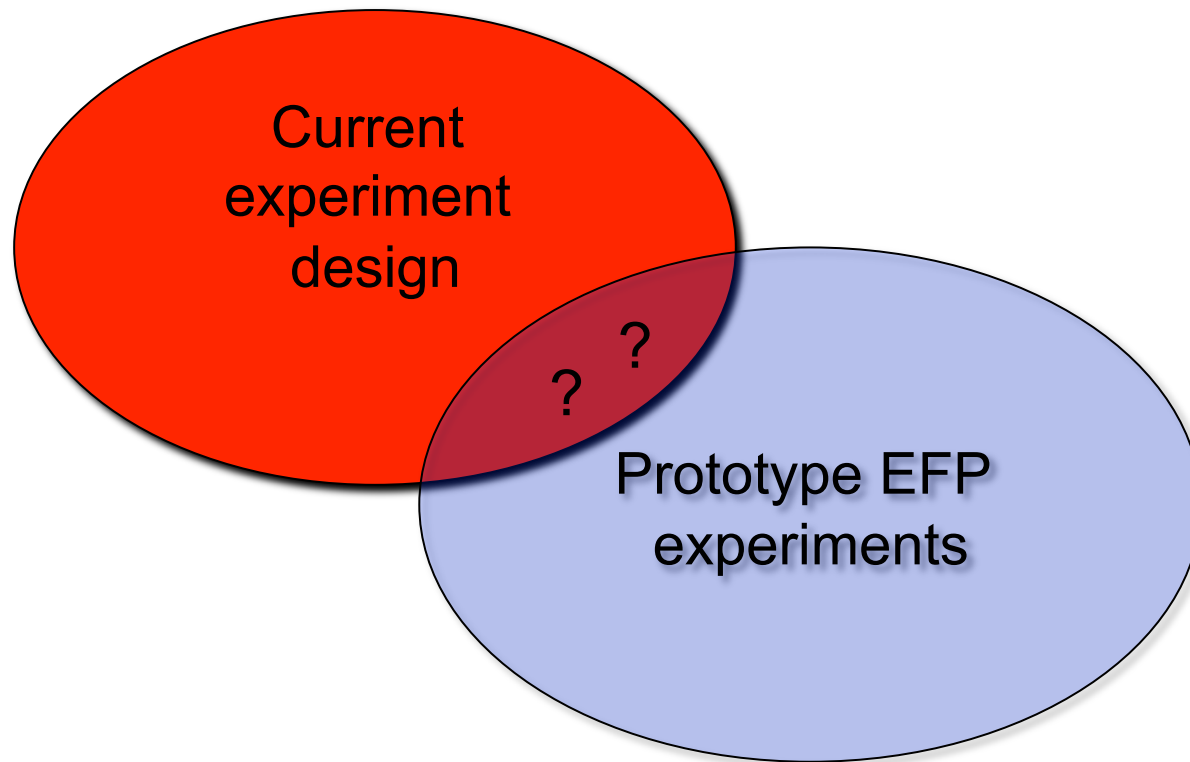
Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich



## *Purpose of this talk*



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## *Collaboratory for the Study of Earthquake Predictability*

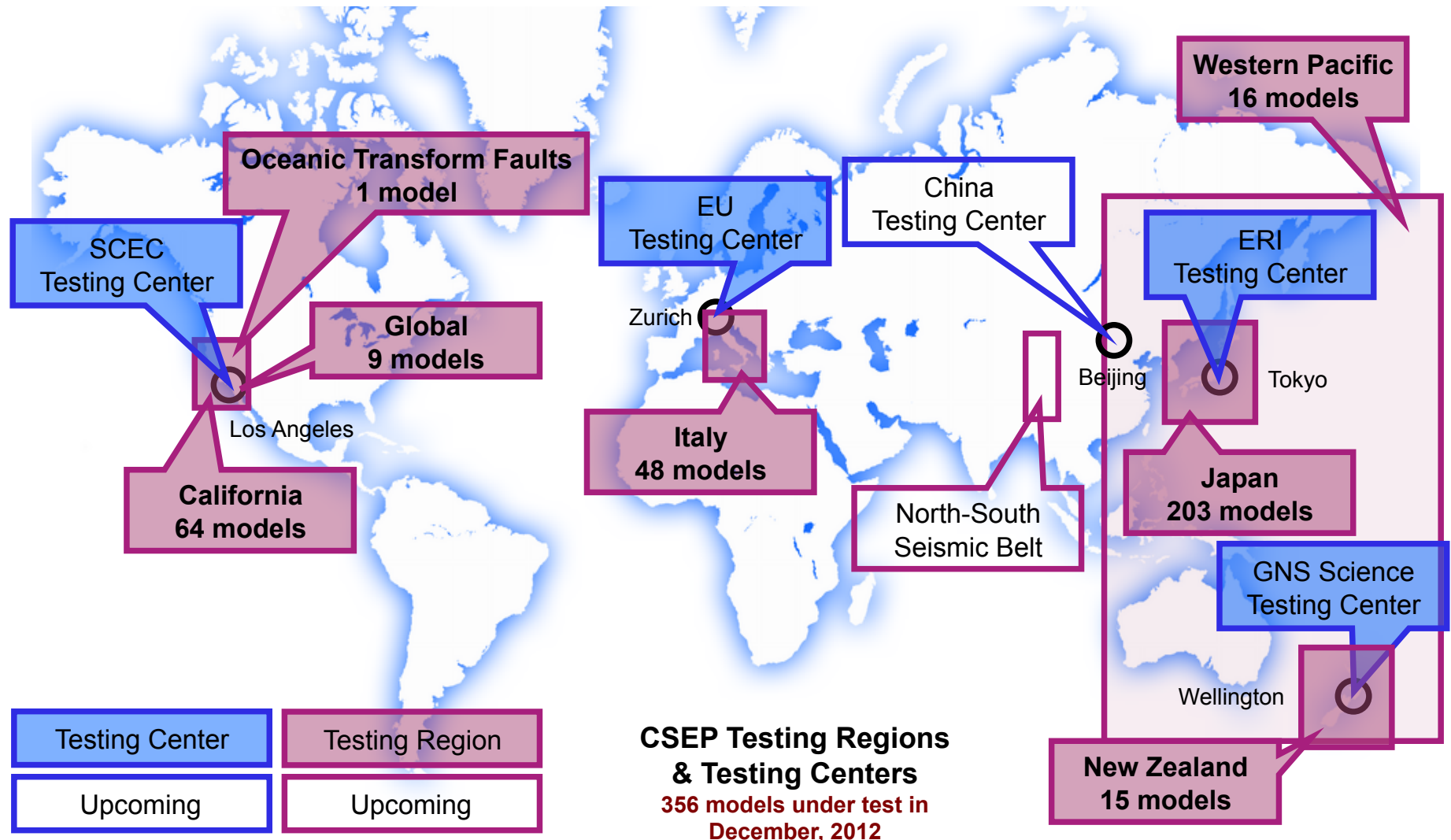
- **CSEP goal is an infrastructure for conducting predictability experiments and research**
  - Transparent and reproducible evaluation of predictability hypotheses and forecasting models
  - Automate blind, prospective testing in a standardized, controlled environment (“zero degrees of freedom”)
  - Establish experiments in a variety of tectonic environments and on a global scale
  - Provide model performance feedback to guide model improvements

## *CSEP Components*

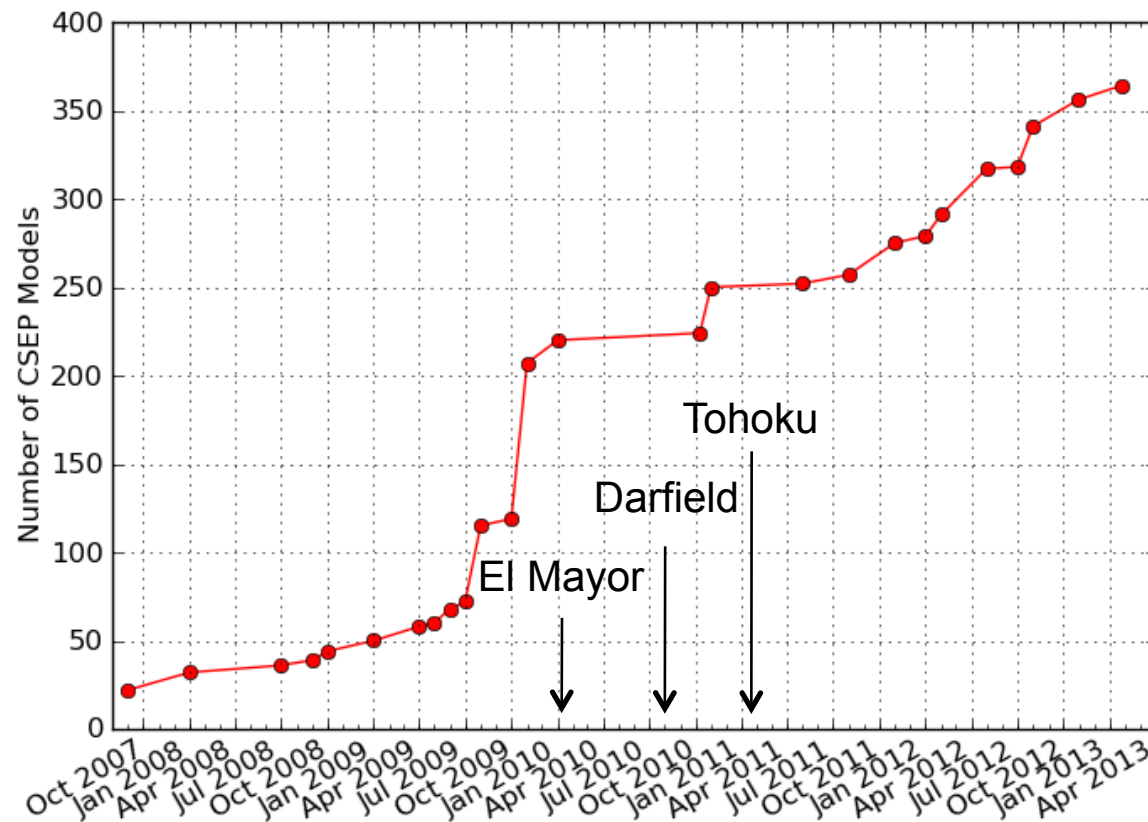
- ***Natural laboratories*** comprising active fault systems with adequate, authorized data sources for conducting forecasting experiments
- ***Testing centers*** with validated procedures for registering and evaluating predictability experiments
- ***Model classes*** with common target events, forecasting regions, and forecast updating intervals

# *Collaboratory for the Study of Earthquake Predictability*

**Infrastructure for automated, blind, prospective assessment of forecasting models in a variety of tectonic environments and on a global scale**



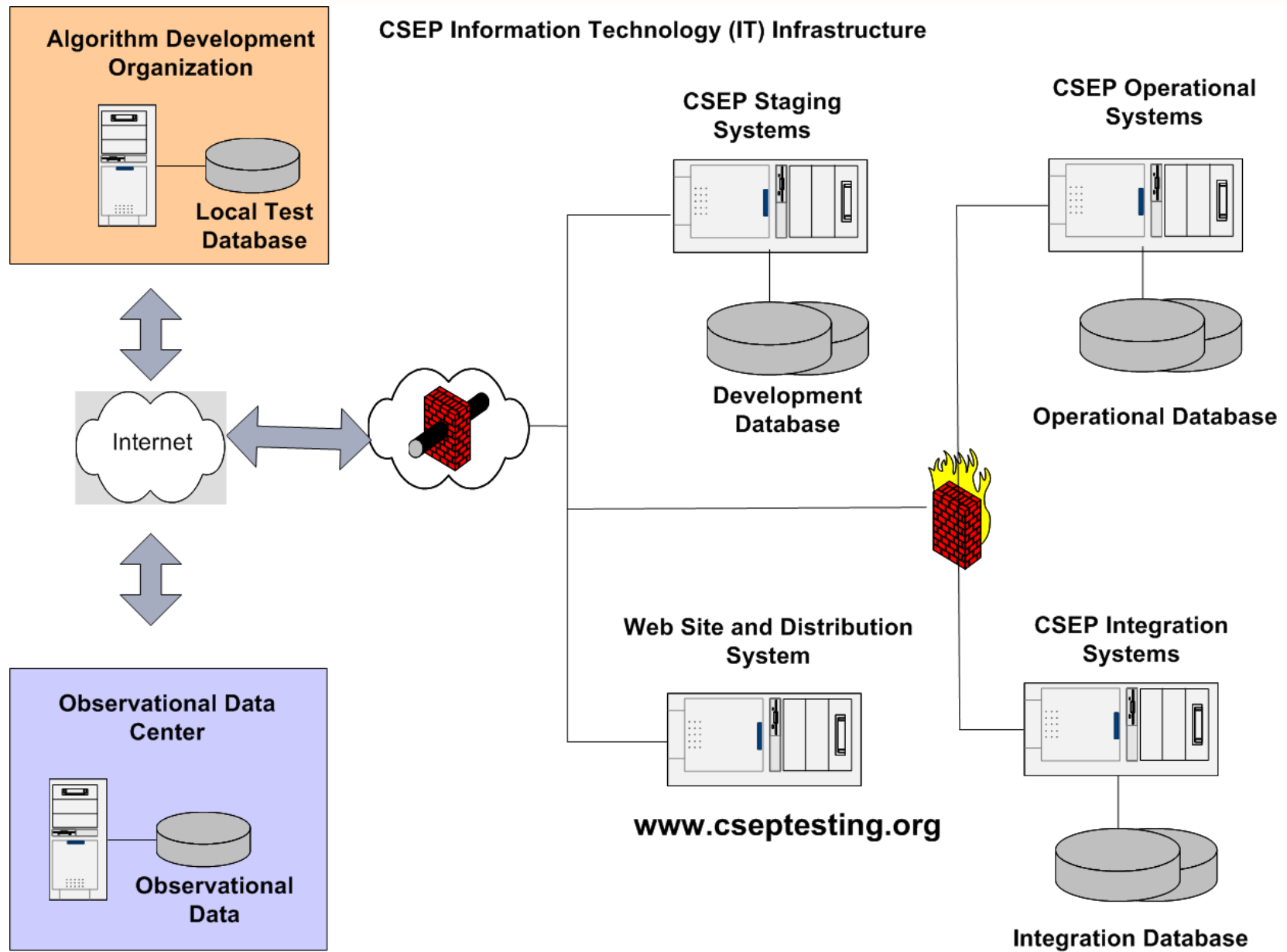
# *364 models under evaluation*



## Example models:

**RELM** Regional Earthquake Likelihood Models  
**PPE** Proximity to Past Earthquakes  
**TripleS** Simple Smoothed Seismicity  
**EEPAS** Every Earthquake a Precursor According to Scale

**STEP** Short-Term Earthquake Probabilities  
**ETAS** Epidemic-Type Aftershock Sequences  
**DBM** Double Branching Model  
**K<sup>3</sup>** Kernel-based space-time-magnitude smoothing

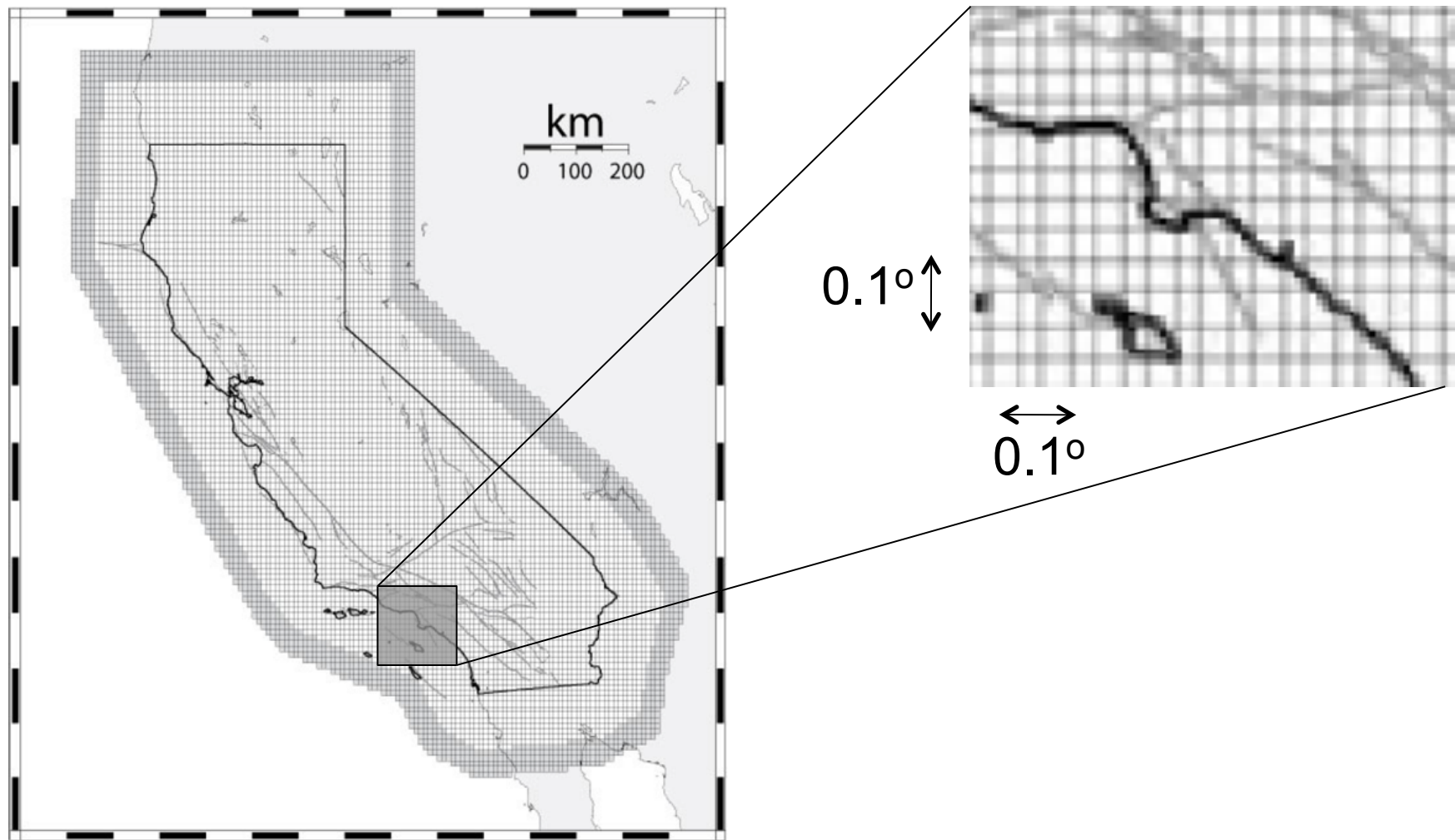




# *Experiment Design*

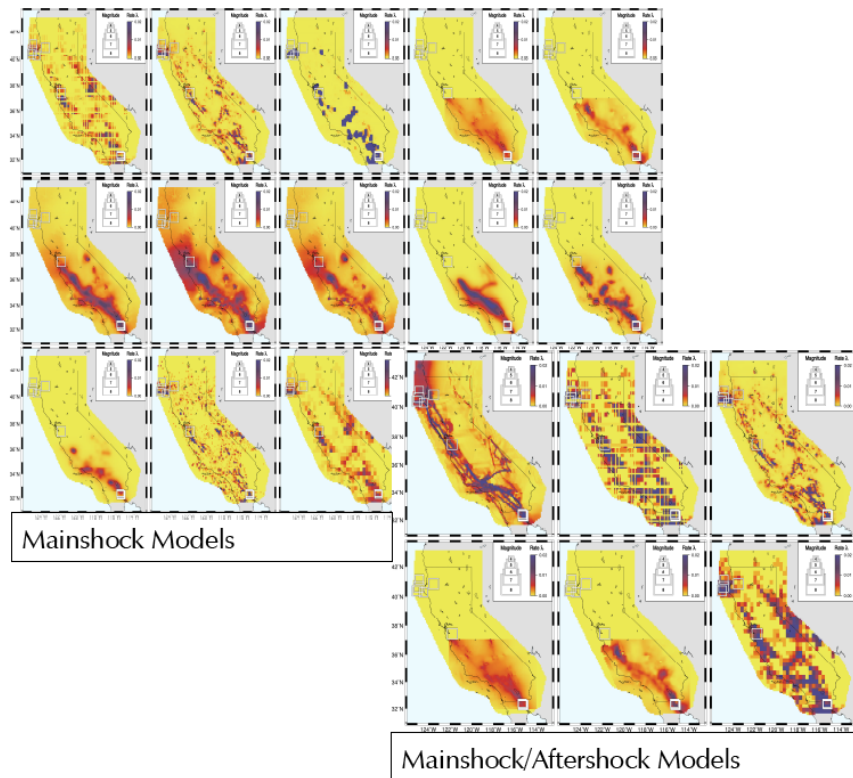
- **Stakeholders jointly develop a prospective experiment according to agreed-upon rules**
  - Transparency, reproducibility, comparability
  - data availability, quality and quantity
- **Rules include**
  - region that the forecasts cover (at least partially)
  - magnitude threshold
  - duration of the experiment
  - nature of forecast (forecast of what?)
  - Format of forecast (codes, tables, metadata, submission formats)
  - an (independent and reliable) source of data for evaluation
  - evaluation methods

## *Example of a Testing Region*

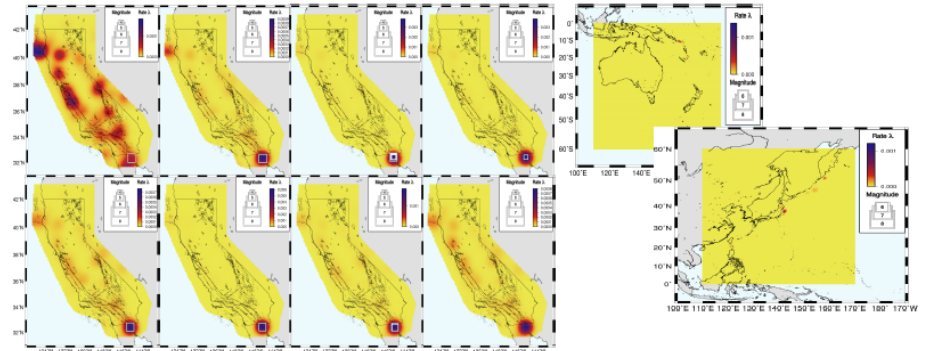


# Forecast Duration

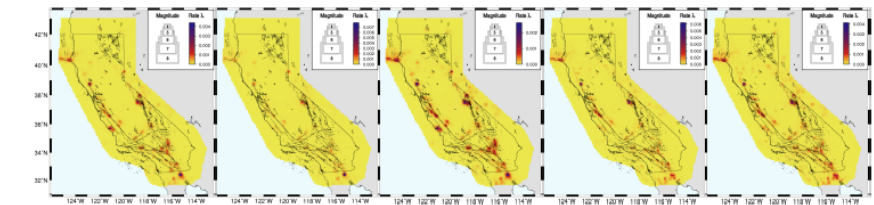
## RELM 5-Year Models



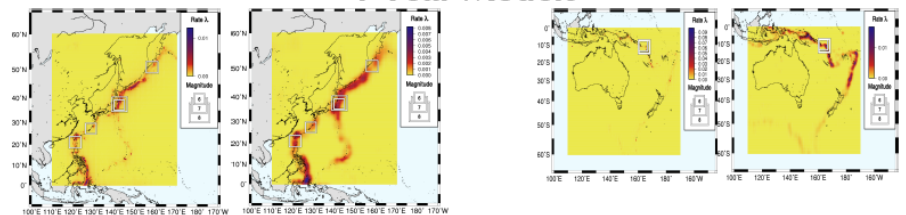
## 1-Day Models



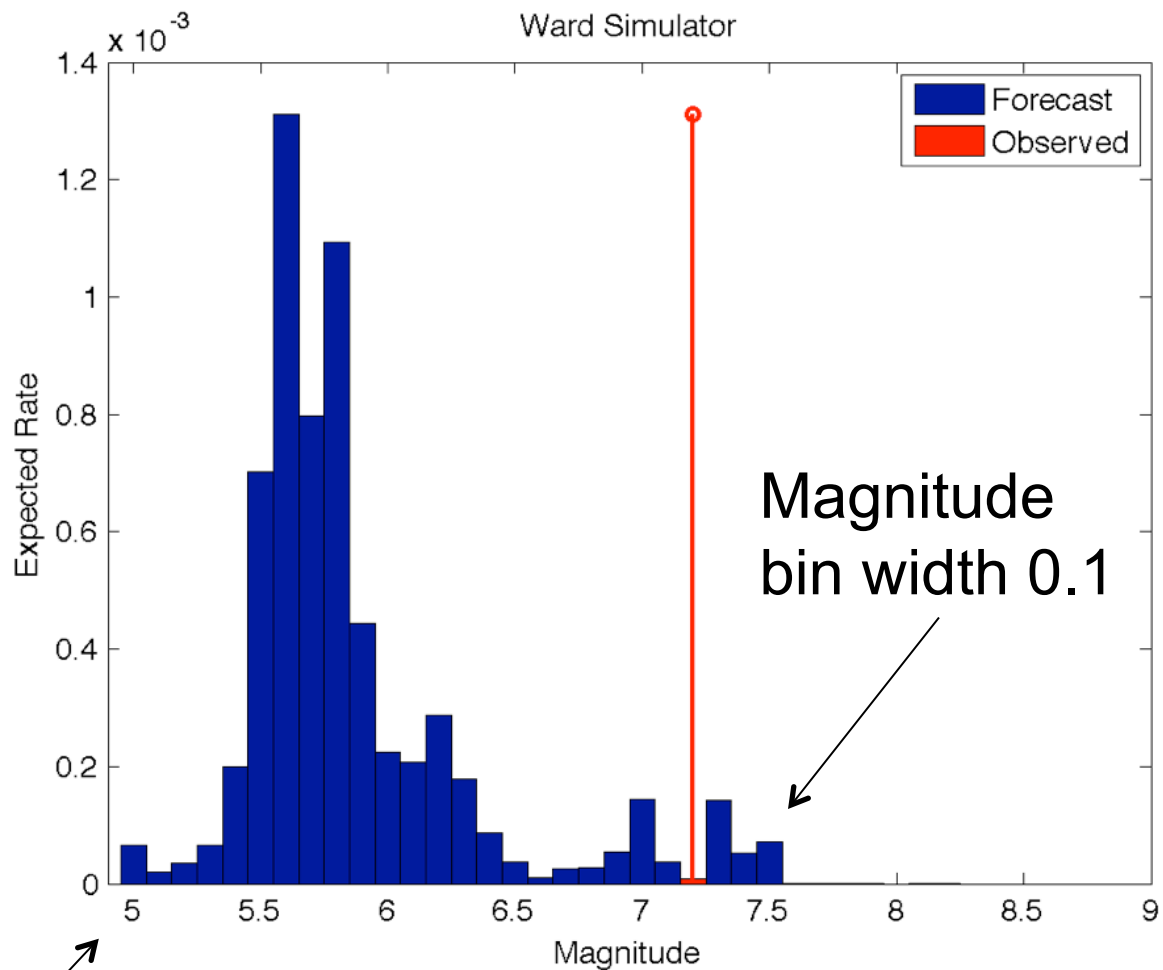
## 3-Month Models



## 1-Year Models



# *Magnitude Threshold and Bins*



Threshold  $M=4.95$

# *Forecast Specification: Rate-based*

**Expected** number of earthquakes  
over forecast horizon  
in spatial cell  
in magnitude bin



**Observed** number of earthquakes  
over forecast horizon  
in spatial cell  
in magnitude bin

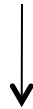


## *Forecast Specification: Rate-based*

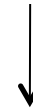
**Expected** number of earthquakes  
over forecast horizon  
in spatial cell  
in magnitude bin

**Observed** number of earthquakes  
over forecast horizon  
in spatial cell  
in magnitude bin

$\lambda$



$N$



$$p(N|\lambda) = \frac{\lambda^N}{N!} e^{-\lambda}$$

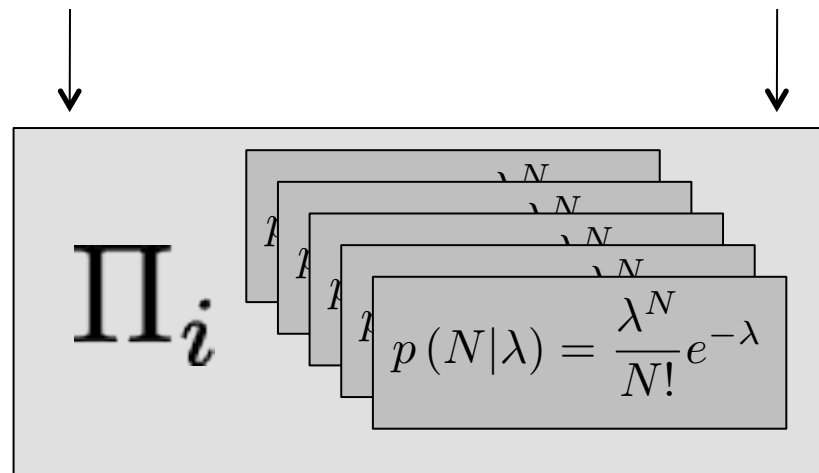
# *Forecast Specification*

**Expected** number of earthquakes  
over forecast horizon  
in spatial cell  
in magnitude bin

**Observed** number of earthquakes  
over forecast horizon  
in spatial cell  
in magnitude bin

$\lambda$   $\lambda$   $\lambda$   $\lambda$

$N$   $N$   $N$   $N$



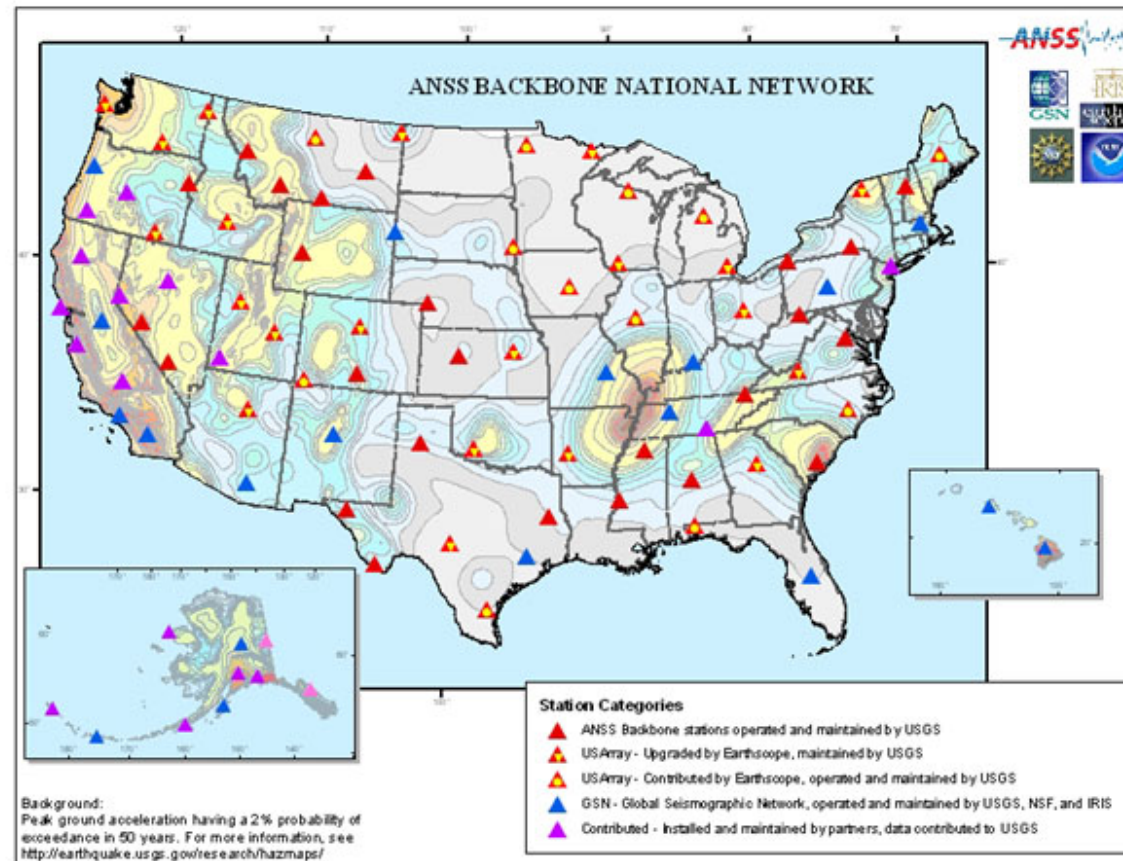
# *Forecast Template*

```
<?xml version='1.0' encoding='UTF-8'?>
<CSEPFforecast xmlns='http://www.scec.org/xml-ns/csep/forecast/0.1'>
  <forecastData publicID='smi:org.scec/csep/forecast/1'>
    <modelName>unknown</modelName>
    <version>1.0</version>
    <author>CSEP</author>
    <issueDate>2005-06-18T10:30:00Z</issueDate>
    <forecastStartDate>2008-01-01T00:00:00Z</forecastStartDate>
    <forecastEndDate>2013-01-01T00:00:00Z</forecastEndDate>
    <defaultMagBinDimension>0.1</defaultMagBinDimension>
    <lastMagBinOpen>1</lastMagBinOpen>
    <defaultCellDimension latRange='0.1' lonRange='0.1' />
    <depthLayer max='30.0' min='0.0'>
      <cell lat='44.95' lon='5.55'>
        <bin m='4.0'>0.0</bin>
        ...
        <bin m='5.0'>0.0</bin>
        <bin m='6.0'>0.0</bin>
        <bin m='7.0'>0.0</bin>
        <bin m='8.0'>0.0</bin>
        <bin m='9.0'>0.0</bin>
      </cell>
      <cell lat='45.05' lon='5.55'>
        ...
      </cell>
    </depthLayer>
  </forecastData>
</CSEPFforecast>
```



# *Data*

- **Authoritative and independent data source**
- **Latency: real-time data is incomplete and unreliable**



# *Measures of Performance*

- **Rate-based:**
  - Number of earthquakes
  - Likelihood score
  - Consistency tests of observed score with expected range
  - Comparative tests of scores of two models
- **Alarm-based:**
  - Molchan error diagram
  - Receiver-operating characteristic
  - Area skill score

# *CSEP Testing in California*

Testing region: **California**

Forecast model: **TripleS**

**Simple, smoothed seismicity,  
updated daily  
(Zechar & Jordan, 2010)**

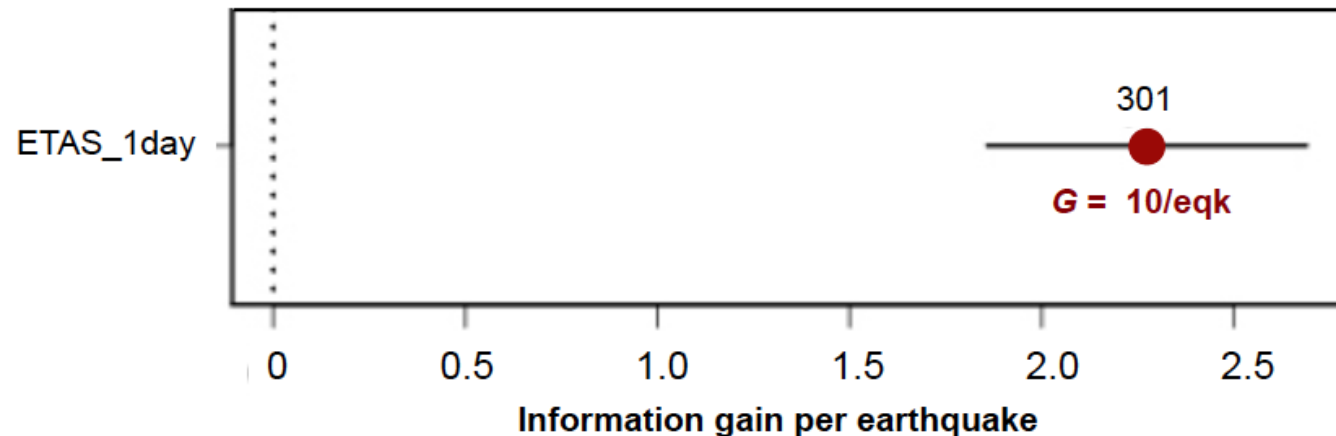
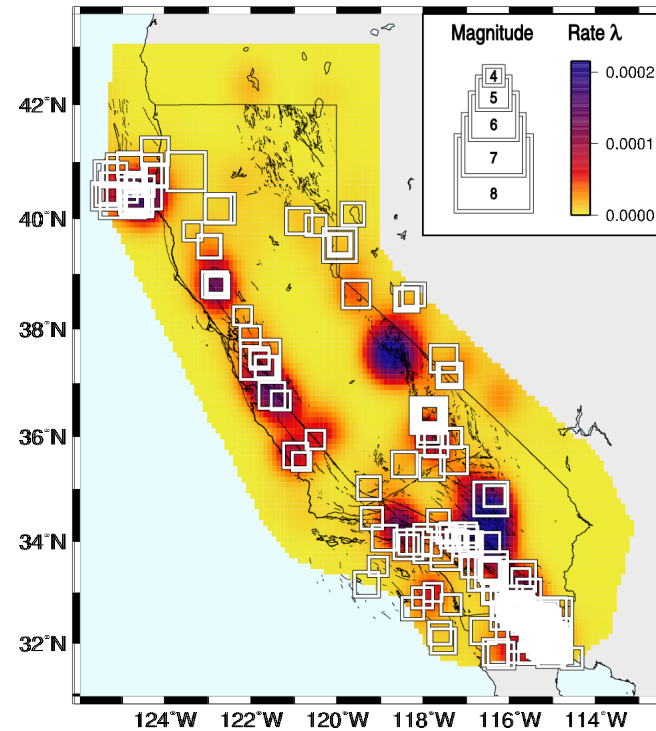
Testing period:

**09/01/2007-03/08/2011**

Target events:

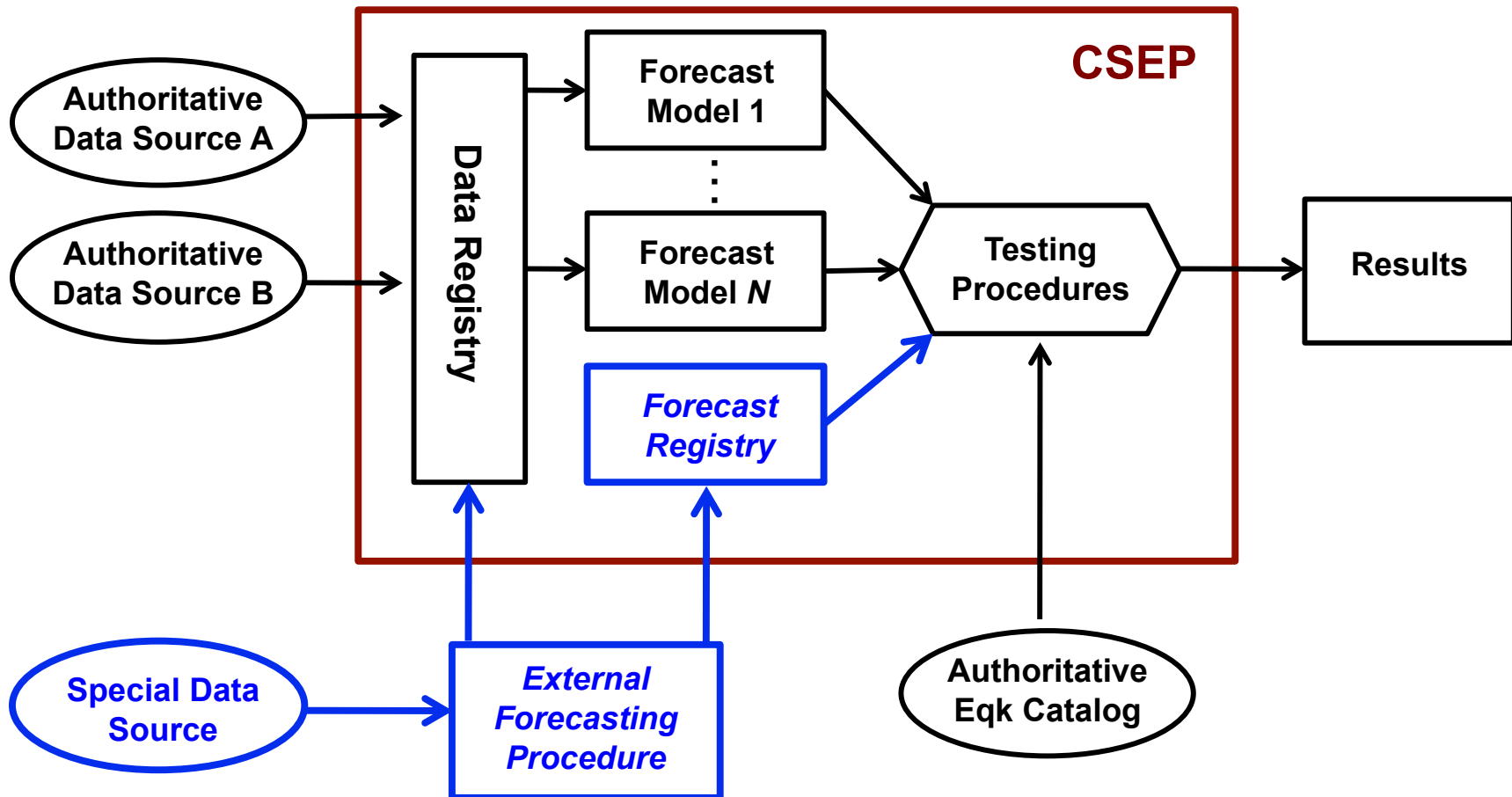
**$M \geq 3.95$  (301)**

Reference forecast:  
TripleS\_1day



# *CSEP Structure*

## Accommodation of External Forecasting



# *Registration and Evaluation of EFPs*

- **Define prototype EFP experiment**
  - Region, duration, magnitude
  - Forecast specification (alarm, rate, probabilities)
  - Forecast format and template
  - Independent data source
  - Available reference models
  - Evaluation methods
- **Establish communication protocol for EFP registration**
  - Forecast format/template
  - procedure for registration
  - Acceptance tests for valid, automated registration

*Thank you*



**“We learn from failure, not from success.”**

– Abraham van Helsing, in Bram Stoker’s *Dracula*